

SERIAL NUMBER FILING DATE CLASS **GROUP ART UNIT** ATTORNEY DOCKET NO. **7**05 09/259,770 03/01/99 3635-4000 2761 APPLICANT STEPHEN J. HODGDON, GREENWICH, CT; CHARLES W. KADLEC, SUMMIT, NJ. **CONTINUING DOMESTIC DATA********* VERIFIED **371 (NAT'L STAGE) DATA************* **VERIFIED** **FOREIGN APPLICATIONS******** VERIFIED IF REQUIRED, FOREIGN FILING LICENSE GRANTED 03/23/99 STATE OR COUNTRY INDEPENDENT CLAIMS Foreign Priority claimed ☐ yes ☐ no ☐ 35 USC 119 (a-d) conditions met ☐ yes ☐ no ☐ Met after Allowance SHEETS DRAWING TOTAL CLAIMS Verified and Acknowledged

Examiner's Initials 89 21 CT 16 Initials MORGAN AND FINNEGAN ADDRESS 345 PARK AVENUE NEW YORK NY 10154 SELIGMAN HARVESTER RISK MANAGEMENT SYSTEM FOR ACHIEVING A RECOMMENDED ASSET ALLOCATION AND WITHDRAWAL STRATEGY TITLE FILING FEE RECEIVED All Fees FEES: Authority has been given in Paper 1.16 Fees (Filing) No. _____ to charge/credit DEPOSIT ACCOUNT 1.17 Fees (Processing Ext. of time) for the following: \$3,406 1.18 Fees (Issue) Other Credit